

DOLLAR FUND APPLICATION FORM - INDIVIDUAL

M No: _____

Title: _____ Name: _____ Surname _____ Other _____

Date of Birth: _____ Gender: Male: Female: ID/Passport No. _____

PIN No. _____ Mobile No: _____ Marital Status: _____

Nationality: _____ Work Permit Number: _____ Work permit Expiry Date: _____
Applicable to foreigners residing and working in Kenya

Email Address (for official correspondence): _____

Nature of Occupation / Business: _____ Signature: _____

Sources of Funds

CIC Asset Management reserves the right to seek further information / documentation on the source of funds to be invested.

The funds for these investment are from (please tick where applicable):

Sale of Shares Gift or Inheritance Disposal of Property Savings Salary
Loan Inheritance Other (Please state) _____

CUSTOMER'S BANK DETAILS (Bank details must be a USD Account)

(Please attach a copy of a certified recent bank statement or a recent copy of a certified cheque or a certified copy of an ATM card as proof of the bank account details entered in the section below)

Account Name: _____ Dollar Account No. _____

Bank Name: _____ Branch Name: _____

Type of Account (Please Tick): Current Savings

Signature of applicant / authorised signatories according to mandate provided

(Any change of Bank Details above must be provided in writing with adequate proof)

BANK ACCOUNT DETAILS

CIC **ONLY** accepts **personal/corporate** and **bankers cheques** payable to the CIC DOLLAR FUND COLLECTION A/C. The Bank Account details are as listed below.

Account Name: CIC DOLLAR FUND COLLECTION ACCOUNT
Bank: Co-operative Bank of Kenya
Branch: Upper Hill
Bank Code: 11037
Account No: 02120190806600
Swift code: KCOOKENA
Currency: USD

INVESTMENT DETAILS

(Minimum investment amount is USD 10,000.)

NAME OF FUND	AMOUNT
CIC Dollar Fund	USD

Total amount in words: _____

Payment Method (Please tick)

Cheque Direct Cash / Cheque Deposit Direct Transfer
Re-Invest? Yes No

Regular Top Ups (Optional)

I would wish to make regular top up on a monthly basis of USD: _____

STATEMENT AND OTHER CORRESPONDENCE

(All statements, reports and notices will be sent by default through e-mail. Please ensure your current address is correctly entered. If no e-mail address is provided or post check box is ticked, you will incur postal charges for all correspondence.)

Preferred mode of receiving monthly correspondence: Email: free Post: USD 0.50

BENEFICIARIES

Name:	ID No.	D.O.B:	Tel:	R/Ship:	% Share

GENERAL DETAILS (Please tick where applicable)

Have you previously invested in the CIC Unit Trust Funds? Yes No

Are you a CIC Insurance Group Ltd Employee? Yes No

How did you hear about CIC Unit Trusts? Radio TV N/Paper Word of mouth Internet

Other (please state): _____

RESIDENTIAL DETAILS

Country: _____ City/State: _____

Postal Address: _____ Code: _____ Town: _____

Estate: _____ House No.: _____

Street Name: _____

I write to confirm that the above is a description of my residential address. This description has been provided as I do not have any utility bill that may be used to verify my current residential address.

RISK ASSESSMENT (Please tick where applicable)

A. What is your age bracket?

1. 18 - 30 years 2. 31 - 40 years 3. 41 - 50 years 4. 51 - 60 years 5. Over 60 years

B. How long do you want to invest?

1. 0 - 3 years 2. 3 - 5 years 3. 5 - 7 years 4. 7 - 10 years 5. Over 10 years

C. What type of savings or investments do you currently hold?

1. T/Bills 2. Bank savings 3. T/Bonds 4. Property 5. Shares

D. What do you expect of your income in the next three to five years?

1. Stop 2. Decline 3. Stay the same 4. Increase Moderately
5. Increase Significantly

E. Which one of the following statements best describes your attitude towards investment risk?

1. I would prefer a low risk investment and preserve my capital
2. I would prefer a moderate risk investment and preserve my capital
3. I would prefer a mix of investments with a low exposure to shares
4. I would prefer a balanced portfolio with medium exposure to shares
5. I would prefer an aggressive portfolio with a high exposure to shares

F. In what period do you estimate you will withdraw?

1. Immediately
2. Within one year
3. From 1 - 3 years
4. From 3 - 5 years
5. Longer than 5 years

G. What attracts you to an investment?

1. Security 2. Security and Income 3. Income 4. Growth and Income 5. Growth

H. Do you have an emergency fund?

1. No: 2. Yes, 0 - 3 Months 3. Yes, 3 - 6 Months 4. Yes, 6 - 12 Months 5. Yes, Over 12 Months

An average of your scores will guide your risk appetite.

Sum Total: () Sum Total divide by 8 = Risk Rating: () As per your score, your risk falls under:

Risk Rating	Fund	Description	Fund Objectives
0 - 1	Money Market Fund (MMF)	Low	<ul style="list-style-type: none"> • Focus on secure income stream • Expect minimal growth on the capital invested • Short to medium term preservation of capital
1 - 2	Wealth Fund (WF) / Dollar Fund (DF)	Low - Moderate	<ul style="list-style-type: none"> • Short to medium term preservation of capital • Moderate growth on capital invested
2 - 3	Fixed Income Fund (FIF)	Moderate	<ul style="list-style-type: none"> • Reasonable level of current income • Expect moderate growth on the capital invested • Moderate volatility
3 - 4	Balanced Fund (BF)	Medium	<ul style="list-style-type: none"> • Stable income stream • Modest growth on capital invested • Medium to long term capital security • Expect some protection against inflation
4 - 5	Equity Fund (EF)	Medium - High	<ul style="list-style-type: none"> • Moderate income stream & level of capital volatility • Expect potentially high growth on invested capital • Long-term return likely to be greater than inflation

I confirm that I read and filled in this form. I understand my risk level and I have chosen to invest in CIC Dollar Fund:

Signature: () Date: ()

DECLARATIONS, INDEMNITY AND SIGNATURES

I apply for investments into CIC Unit Trusts Funds on the terms, conditions and regulatory information set out in the information memorandum. The information memorandum is available at CIC Offices.

I have read and understood the information memorandum, the charges made by CIC Asset Management Limited and the terms and conditions of this application.

I confirm that the Units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that We will not sell, transfer or otherwise dispose of any such Units directly or indirectly to or for the account of such person.

I hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment Instructions.

I confirm that the money used for the Investment in the CIC Unit Trust Funds are not arising out of the proceeds of any money laundering or other illicit activities.

I agree that CIC Asset Management Limited is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such liability or loss results from negligence, or willful default on CIC's part.

I warrant that all the information given on this proposal and in all documents which have been or will be signed by me in connection with the proposed application whether in my hand writing or not, is true and complete.

I hereby irrevocably and unconditionally agree that all fax, internet, electronic mails and scanned copies of any documentation and/or instructions issued by us in respect of our account(s) or dealings with the Company shall be binding and enforceable against us.

I further agree to fully indemnify CIC Asset Management against any proceedings, claims, expenses and liabilities whatsoever which may be taken or made against or incurred by the Fund manager by reason of the Fund manager accepting the fax, internet, electronic mails, scanned copies of the documentation and/or instructions.

I further confirm that the Fund manager may set-off any such expenses/costs incurred by it in respect of our obligations herein against any of our account(s) with the Fund manager.

I agree that CIC Asset Management Limited is not responsible for any liability or losses which may result from currency exchanges arising from Fund Switch i.e. Switching from Money market fund to Dollar Fund.

I THE UNDERSIGNED CONFIRM THAT I HAVE READ AND UNDERSTOOD THIS DECLARATION AND ITS IMPLICATIONS

(Signature of applicant/authorised signatory)

Signature: _____ Date: _____

REQUIREMENTS

- Copy of I.D or Passport
- Copy of KRA PIN
- Passport picture
- Copy of USD bank details (cancelled cheque, ATM card copy or bank statement)
- Copy of certified work Permit (where applicable)

BROKER / AGENT DETAILS: (For internal use)

Name: _____ Financial advisor No. _____

Signature: _____ Date: _____

Manager (For Financial advisors only) _____ Branch: _____

Created by Name: _____ Signature: _____ Date: _____

Confirmed by Name: _____ Signature: _____ Date: _____

CIC ASSET MANAGEMENT LTD.

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